

# EXPRESSION OF ER/PR/HER2, BASAL MARKERS AND ADHESION MOLECULES IN PRIMARY BREAST CANCER AND IN LYMPH NODES METASTASES: A COMPARATIVE IMMUNOHISTOCHEMICAL ANALYSIS

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The immunophenotypic differences between primary and metastatic tumour cells could influence patient's treatment or/and the results of selected diagnostic procedures.

That prompted us to investigate potential differences between primary tumours and corresponding synchronous lymph node metastases in the T ≥ 1/N +/M0 breast cancer patients. The investigated group consisted of 108 patients with invasive ductal breast cancer, who underwent radical surgery. The expression of ER, PR, HER2 as well as CK5/6, P-cadherin, EGFR and Ep-CAM was assessed immunohistochemically.

Our data suggest that ER, PR, HER2, EGFR and CK5/6 are expressed conservatively, with some minor changes between primary tumour and simultaneous lymph node metastases. On the contrary, Ep-CAM and P-cadherin immunoreactivity in primary and metastatic cells varied significantly. This variation might exclude Ep-CAM and P-cadherin as potential diagnostic or therapeutic targets.

**Key words:** primary breast tumour, lymph node metastasis, basal markers, adhesion molecules.

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## Introduction

Breast cancer encompasses several pathological and molecular subtypes characterized by different outcomes and responses to a given treatment. Moreover, tumour texture is heterogeneous and consists of different cell clones. Each cell clone can present different proliferation rate, expression of particular markers and different metastatic potential. These clones might appear during cancer progression, hence it is possible that metastases are formed by a tumour subclone with a different immunophenotype than that presented by

the majority of tumour cells. On the other hand, at least some (genetic/epigenetic) changes occur very early during carcinogenesis and therefore they might be maintained during later stages of carcinogenesis and even in the secondary tumour site [1-4]. So far it is not obvious if expression of potentially prognostic markers is stable in all tumour localizations.

Nowadays, the lymph node status, tumour size, histological grade, Ki67 proliferation index of cancer cells, status of HER2 and steroid receptors are well-established prognostic and predictive factors for breast cancer [5]. Routinely, the above-mentioned parameters are

assessed with respect to primary tumours and the decision on neoadjuvant or adjuvant treatment is based on the obtained results. The differences in immunophenotypes between primary and metastatic tumour cells could influence the results of patient's treatment. Generally, patients with estrogen receptor-negative tumours do not receive endocrine therapy, however, if expression of this protein would appear in metastases, patients might respond to that kind of treatment. On the other hand, formation of metastases by a tumour clone without HER2 amplification might result in no or little benefit from trastuzumab administration.

Recently, studies have been conducted to create antibodies which can be used in imaging procedures, such as positron emission tomography (PET) [6] or visualization in near-infrared [7]. The differences in the expression of some target proteins between primary tumours and metastases may entail problems for new diagnostic imaging procedures based on antibodies targeting these proteins. The most frequently studied targets are epithelial cell adhesion molecule (Ep-CAM), epidermal growth factor receptor (EGFR) and human epidermal growth factor receptor 2 (HER2) [6-8].

The above-mentioned arguments prompted us to investigate the differences between primary tumours and corresponding synchronous lymph node metastases in T  $\geq$  1, N+, M0 breast cancer patients. Expression of estrogen receptor (ER), progesterone receptor (PR), HER2 as well as cytokeratin 5 and 6 (CK5/6), P-cadherin, EGFR and Ep-CAM was assessed immunohistochemically.

## Material and methods

### Patients

The investigated group consists of 108 patients with invasive ductal breast cancer (T  $\geq$  1, N+, M0), who underwent radical mastectomy between 2001 and 2005 at the Department of Surgical Oncology, Centre of Oncology, Cracow Branch. The mean age of patients was  $53.6 \pm 0.9$  (SE) (range 24.0-73.0) years. Clinical and histological data are summarized in Table I. The Local Ethics Committee has approved the study.

### Material

Archival specimens from primary tumour and synchronous lymph node metastasis were reviewed independently by two pathologists to confirm the histological diagnosis and tumour grade.

### Immunohistochemical staining

Sections from tissue samples fixed in 10% neutral buffered formalin and embedded in paraffin were cut at 4  $\mu$ m, mounted on SuperFrost<sup>®</sup> Plus (Menzel-Glä-

ser, Germany) slides, and then deparaffinized and hydrated through a series of xylenes and alcohols.

Following the antigen retrieval (Table II), slides were incubated in 3% H<sub>2</sub>O<sub>2</sub> diluted in methanol for 30 min. After being washed, the slides were treated for 20 min. with 2.5% horse normal serum, then incubated overnight with primary antibody at 4°C (in case of P-cadherin the incubation at 37°C lasted only 1 h). Sections were treated with the BrightVision detection system (Immunologic, Duiven, The Netherlands) and DAB (Vector Laboratories, Inc., Burlingame, USA) for protein visualization. Hematoxylin was used for nuclear counterstaining. Detailed information on immunohistochemistry and the number of stained/immunopositive slides is presented in Table II.

Moreover, PR/HER2 and EGFR/Ep-CAM were visualized using a double staining procedure. PR and EGFR were detected using VIP (Vector Laboratories, Inc., Burlingame, USA), while HER2 and Ep-CAM using DAB. Eventually, slides were counterstained with

**Table I.** Clinicopathological characteristics of 108 ductal breast cancer patients

PARAMETER	N (%)
<b>T</b>	
1	17 (15.7)
2	87 (80.6)
3	4 (3.7)
<b>Grade*</b>	
1	13 (12.2)
2	36 (34.0)
3	57 (53.8)
<b>Mastectomy</b>	
Patey/Madden	105 (97.2)
Halsted	3 (2.8)
<b>Chemotherapy</b>	
not administered	-
anthracyclines	32 (29.6)
anthracyclines + taxanes	62 (57.4)
taxanes	8 (7.4)
other	6 (5.6)
<b>Hormonal therapy</b>	
not administered	34 (31.4)
tamoxifen	42 (38.9)
tamoxifen + aromatase inhibitor	28 (25.9)
tamoxifen + GNRH analogue	2 (1.9)
aromatase inhibitor	2 (1.9)
<b>Trastuzumab</b>	
not administered	106 (98.1)
administered	2 (1.9)

\* grade was not assessed in two cases

**Table II.** Detailed information on immunohistochemical staining

ANTIGEN	CLONE	MANUFACTURER	ANTIGEN RETRIEVAL	DILUTION	POD SUBSTRATE	NUMBER OF STAINED CASES/ NUMBER OF POSITIVELY STAINED CASES	
						TUMOUR	LYMPH NODE
ER $\alpha$	6F11	Leica Biosystems <sup>1</sup>	TRS, pH=6.1	1 : 100	DAB <sup>5</sup>	91/61	93/62
PR	PGR/2	Leica Biosystems <sup>1</sup>	DAKO <sup>2</sup> ,	1 : 200	VIP <sup>5</sup>	89/40	93/39
HER2	–	DAKO <sup>2</sup>	50 min., 96°C	1 : 250	DAB	94/15	93/12
CK5/6	D5/16 B4	DAKO <sup>2</sup>		1 : 50	DAB	100/26	101/25
CK5	XM26	Thermo <sup>3</sup>		1 : 80			
P-cadherin	56	BD <sup>4</sup>		1 : 200	DAB	103/46	101/22
EGFR	H11	DAKO <sup>2</sup>	Proteinase K,	1 : 200	VIP	91/7	94/5
Ep-CAM	VU-1D9	Leica Biosystems <sup>1</sup>	10 min., 37°C	1 : 50	DAB	90/84	94/81

<sup>1</sup>Leica Biosystems Newcastle Ltd, Newcastle, United Kingdom

<sup>2</sup>DakoCytomation Denmark A/S, Glostrup, Denmark

<sup>3</sup>Thermo Fisher Scientific, Fremont, USA

<sup>4</sup>BD Biosciences Pharmingen, BD Transduction Laboratories™, USA

<sup>5</sup>Vector Laboratories, Inc., Burlingame, USA

Methyl Green (Vector Laboratories, Inc., Burlingame, USA).

The internal positive controls were positively stained cells of normal ducts and lobules for ER $\alpha$ , PR, CK5/6, CK5 and P-cadherin. A tumour specimen with a known strong HER2 (3+) expression and EGFR expression (external positive control) was added to each series of staining.

### IHC evaluation

IHC staining was evaluated in the invasive component of the tumours, only. ER $\alpha$  (Fig. 1B) and PR expression were considered positive if more than 1% of tumour cells showed nuclear immunopositivity. Only tumours with complete strong membranous HER2 (Fig. 1C) or Ep-CAM (Fig. 1E) staining of > 30% of cells (3+) were considered positive. P-cadherin (Fig. 1G) immunopositivity was defined as complete strong membranous staining observed in > 10% of cells or strong cytoplasmic staining in > 50% of cells. The expression of CK5/6 and CK5 (Fig. 1I) and EGFR was considered positive if more than 1% of the tumour cell was found to be immunoreactive.

In case of ER $\alpha$ , PR, EGFR, HER2 and CK5/6 a binary scale was used to evaluate staining results: 0 – negative, 1 – positive. Ep-CAM and P-cadherin expression was assessed using three categories: 0 – negative, 1 – weak staining, 2 – strong staining.

We compared the expression of ER, PR, EGFR, HER2, CK5/6, Ep-CAM and P-cadherin in primary tumour and corresponding lymph node metastasis. In order to evaluate the differences in the intensity of marker expression the following mathematical formula was used: “change in marker expression = expression in lymph node – expression in primary tumour”. A result below 0 indicated a lower protein expression in

the lymph node, a result equal to 0 – no changes in expression, while a result above 0 – more intense staining in the lymph node than in the tumour.

On the basis of the ER, PR and HER2 status, four immunophenotypes were distinguished: (1) luminal A (LA): ER+ and PR+ and HER2–, (2) luminal B (LB): ER+ and PR+ and HER2+, (3) HER2 overexpressing (HER2+): ER– and PR– and HER2+, (4) triple negative phenotype (TNP): ER– and PR– and HER2–.

### Statistical analysis

Descriptive statistics were used to determine mean values and standard errors of means (SE). Associations between categorical variables were analysed using Pearson  $\chi^2$  test. In all statistical procedures  $\alpha < 0.05$  was considered significant. Calculations were performed with the STATISTICA 9 software (StatSoft, Inc., Tulsa, KO 74104, USA).

### Results

Detected changes in ER, PR, EGFR, HER2, CK5/6, Ep-CAM and P-cadherin expression are shown in Fig. 2. Over 90% of cases showed the same level of ER, PR, HER2, CK5/6 and EGFR expression in the primary tumour and nodal metastasis (Fig. 2). A high variation in staining intensity was found for Ep-CAM and P-cadherin (Fig. 2).

Eventually, we assessed relations between the frequency of spontaneous changes in the marker expression and the tumour grade or breast cancer subtype (Pearson  $\chi^2$ ). We found that only differences in HER2 expression were statistically significantly related to subtype. All changes (reduction of expression) were noted in the LB subtype. Other results are presented in Table III.



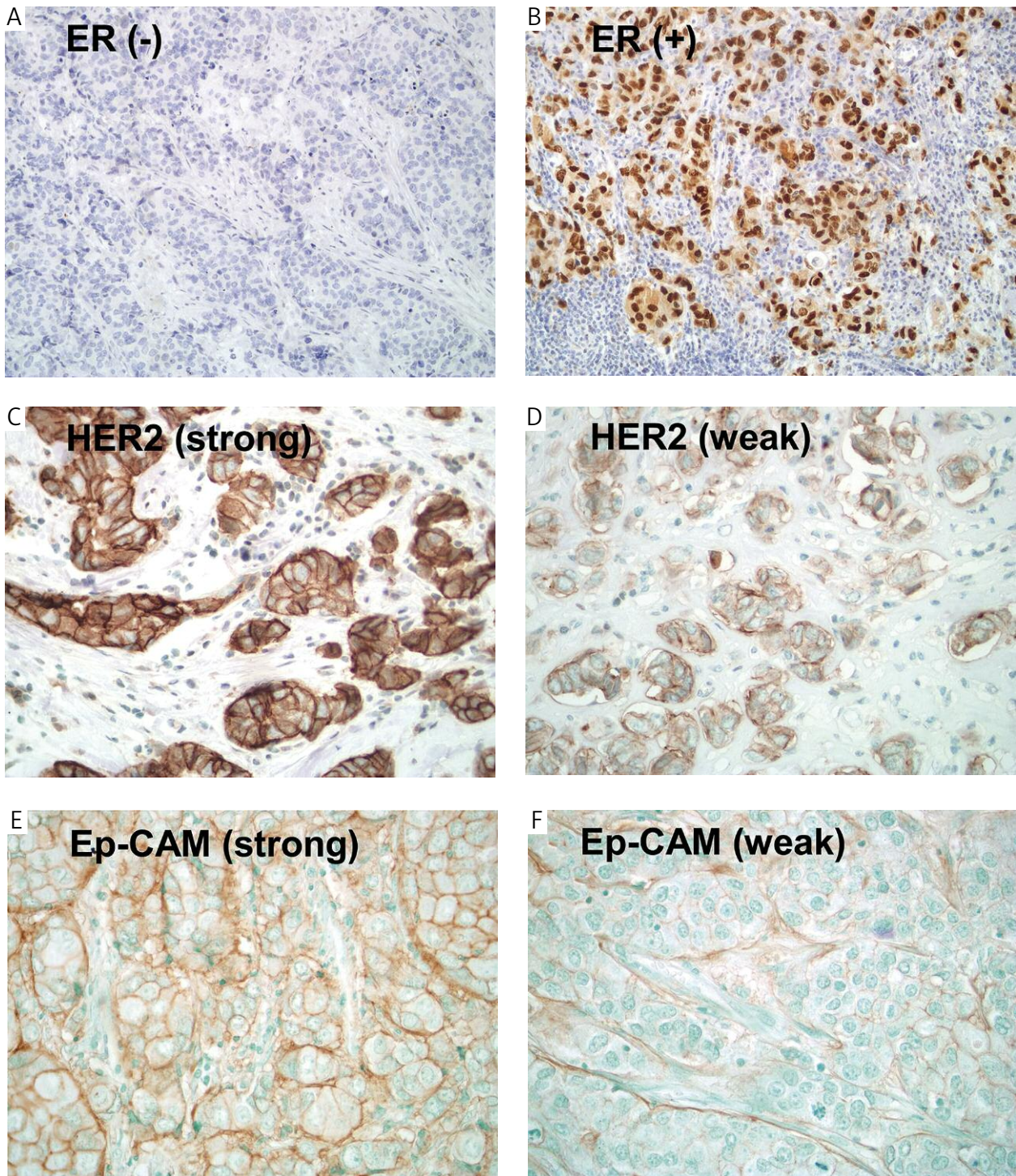


Fig. 1. Differences in the expression of ER, HER2, Ep-CAM, P-cadherin, CK5/6 and CK5 between primary breast cancer (A, C, E, G, I) and lymph node metastasis (B, D, F, H, J) (continued on the next page)

## Discussion

Metastases are the main cause of death in breast cancer patients. The most popular model of metastasis formation assumes that the primary tumour is heterogeneous and only few cells obtain metastatic capacity.

However, some molecular data suggest that potential to create metastasis is a biological characteristics of all primary tumour cells and it is not a feature of rare cell clone with a metastatic phenotype [9]. This suggests that primary tumour and metastases share many genetic and epigenetic features. Confirmation



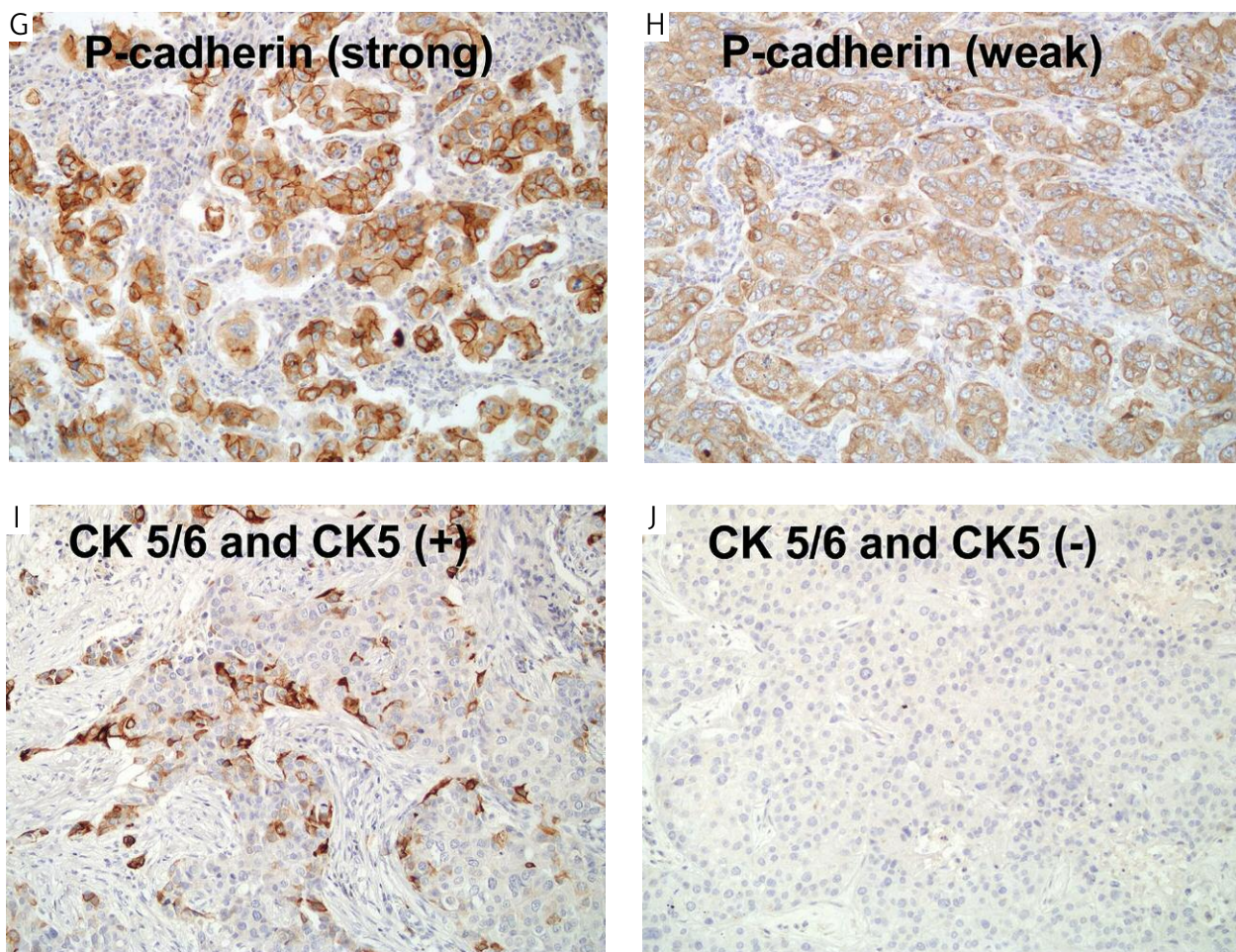
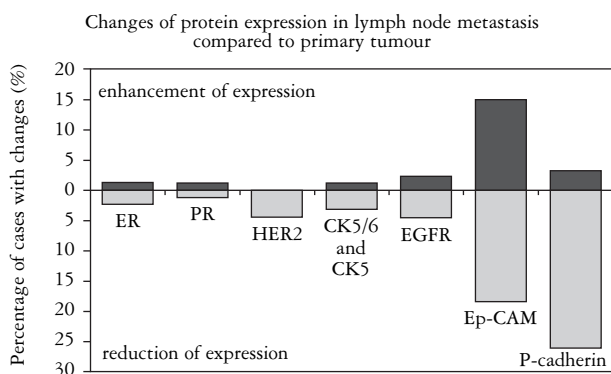


Fig. 1. Cont.



	REDUCTION OF EXPRESSION N (%)	LACK OF CHANGES IN EXPRESSION N (%)	ENHANCEMENT OF EXPRESSION N (%)
ER $\alpha$	2 (2.3)	83 (96.5)	1 (1.2)
PR	1 (1.2)	84 (97.6)	1 (1.2)
HER2	4 (4.5)	85 (95.5)	–
CK5/6 and CK5	3 (3.2)	89 (95.7)	1 (1.1)
P-cadherin	25 (26.1)	68 (70.8)	3 (3.1)
EGFR	4 (4.5)	83 (93.3)	2 (2.2)
Ep-CAM	16 (18.4)	58 (66.7)	13 (14.9)

Fig. 2. Representation of protein expression changes in lymph node metastasis in comparison to primary tumour

of the above-mentioned hypothesis is very important for future targeted therapies and diagnostic imaging strategies. In the last years many molecular targets were identified and many drugs with ability to target them were produced. Some of them, for example ER or HER2 blockers, have been used in clinical practice

for years. Because the main goal of targeted therapy (systemic therapy) is to eliminate metastatic neoplastic cells, the above-mentioned therapies should be focused on secondary tumour sites. Therefore, a very important question arises whether the metastasizing cells differ from primary tumour cells. In our study we com-

**Table III.** Differences between frequencies of events (changes of protein expression) observed in investigated categorical variables (grade, subtype) compared with Pearson  $\chi^2$  test.

CHANGES IN PROTEIN EXPRESSION IN LYMPH NODE METASTASES		GRADE			SUBTYPE			
		1	2	3	LA	LB	HER2	TNP
ER	reduction	1	0	1	1	0	0	0
	lack of changes	9	30	43	47	6	7	20
	enhancement	0	0	1	1	0	0	0
PR	reduction	0	0	1	1	0	0	0
	lack of changes	10	28	45	48	6	7	23
	enhancement	1	0	0	1	0	0	0
HER2	reduction	2	1	1*	0	4	0	0**
	no changes	9	30	45	52	3	7	23
CK5/6	reduction	0	0	3	0	0	1	2
	lack of changes	10	31	47	50	7	5	20
	enhancement	0	1	0	0	0	0	0
P-cadherin	reduction	2	8	14	13	1	3	5
	lack of changes	9	24	35	39	6	4	15
	enhancement	0	0	3	0	0	0	3
EGFR	reduction	0	1	3	1	0	1	2
	lack of changes	10	31	41	51	5	6	18
	enhancement	0	0	2	0	0	0	2
Ep-CAM	reduction	1	8	7	8	0	1	5
	lack of changes	7	20	30	34	4	6	14
	enhancement	1	2	10	7	1	0	4

\* $p = 0.066$ , \*\* $p = 0.000$ 

breast cancer subtype: LA – ER+/PR+/HER2–, LB – ER+/PR+/HER2+, HER2 – ER–/PR–/HER2+, TNP (triple negative phenotype) – ER–/PR–/HER2–

pared the expression of ER, PR, HER2 as well as CK5/6, P-cadherin, EGFR and Ep-CAM between primary tumours and synchronous lymph node metastases in breast cancer patients. We found a high percentage of accordance (> 90%) in the ER, PR, HER2, CK5/6 and EGFR expression pattern. Our results are consistent with other authors' studies [10, 11] reporting high concordance in steroid receptors status between primary tumour and lymph node metastasis. Interesting data were presented by Bogina *et al.* [12], who investigated primary tumours matched with local recurrence and distant metastasis (synchronous and metachronous), and found that the ER status was more conservative and was not affected by therapy, while PR status was influenced by adjuvant chemotherapy combined with hormonal therapy. Additionally, changes in PR expression were more frequent in distant metastases than in local recurrences [12].

The difference in HER2 expression between primary tumours and metastases is one of the most meticulously studied problem. We observed a discrepancy in HER2 expression in 4.5% of cases only. It is consistent with data from other authors, who noted high concordance (82.2–100%) of HER2 status between primary tumour and lymph node metastases or distant metas-

tasis [11–15, 19]. However, some authors reported contradictory results [16–18]. Different time of occurrence and localization of metastases could explain these discrepancies. Santinelli *et al.* [16] showed differences in the HER2 status when primary tumours were compared to synchronous lymph node metastases (6.7%), or to local recurrence (13.3%), or to distant metachronous metastases (28.6%). Moreover, Niikura *et al.* [17] reported that chemotherapy might influence the HER2 status in distant metastasis diagnosed after treatment. In chemotherapy-treated patients discordance was observed in 27%, while in chemotherapy naïve patients – only in 10%. It is also worth mentioning that patients with concordant HER2 status had a significantly longer overall survival [17].

In our study, the status of EGFR and CK5/6 was also very stable (concordance of 93.3% and 95.7%, respectively), what confirms other authors' results, who found a high percentage of accordance in the EGFR expression between primary tumour and lymph node metastases [10, 13] or distant metastases [19]. There are very few studies investigating the expression of CK5/6, Ep-CAM and P-cadherin in primary tumour and metastasis [20, 21]. In our study, Ep-CAM and P-cadherin expression was characterized by low con-

cordance (66.7% and 70.8%, respectively), which confirms some data reporting changes in the pattern of Ep-CAM expression in metastasis of head and neck squamous carcinoma [21].

Currently, the differences in the gene expression pattern between primary tumour and metastases are analysed using molecular techniques [22-25]. The results are still non-conclusive, what could be caused by analyzing heterogeneous groups of patients (different stage, grade, histology, treatment schedule) and different gene sets.

Our and other authors' studies suggest that ER, PR, HER2, EGFR and CK5/6 are expressed conservatively (with little changes in primary breast cancer and simultaneous lymph node metastases). However, Ep-CAM and P-cadherin expression varied considerably between primary tumour and nodal metastasis. This variation might exclude Ep-CAM and P-cadherin as potential diagnostic or therapeutic targets.

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