

ORIGINAL PAPER

ASSOCIATION BETWEEN HER2/NEU STATUS IN COLORECTAL CARCINOMA AND CLINICOPATHOLOGICAL FEATURES: A RETROSPECTIVE STUDY USING WHOLE – TISSUE SECTIONSAYDAN KILIÇARSLAN¹, HAYRIYE TATLI DOĞAN¹, NURAN SÜNGÜ¹, MEHMET DOĞAN², ABDUSSAMET YALCIN³, DİDEM ŞENER DEDE⁴¹Department of Pathology, Yıldırım Beyazıt University, Ankara, Turkey²Department of Pathology, Dr. Abdurrahman Yurtaslan Oncology Education and Research Hospital, Ankara, Turkey³Department of Surgery, Yıldırım Beyazıt University, Ankara, Turkey⁴Department of Oncology, Yıldırım Beyazıt University, Ankara, Turkey

The human epidermal growth factor receptor 2 (Her2/neu) signal pathway plays a significant role in the occurrence of various solid tumor types. The rate of Her2/neu in colorectal carcinoma (CRC) is not clearly elucidated. In this study, we discuss the association between Her2/neu overexpression and clinicopathological parameters in CRC. Her2/neu immunohistochemical (IHC) staining was performed on whole sections of formalin fixed paraffin embedded tumor tissues of 100 CRC resections. Cases with score 3+ and score 2+ expressions were further evaluated by silver *in-situ* hybridization (ISH) for the existence of Her2/neu gene amplification. Her2/neu membranous overexpression was observed in 12 of the 100 cases (6 cases with a score 3+ and 6 cases with a score 2+) and 6 of these were heterogenous. There were 10 cases with Her2/neu amplification (6/6 score 3+, 4/6 score 2+). Strong cytoplasmic staining was observed in 5 cases. Membranous scores were either 3+ or 2+ in 3 of these 5 cases. Moreover, there was Her2/neu amplification in 2 of these 3 cases. Her2/neu amplification status and overexpression was not related to clinicopathological parameters and overall survival. More clear results can be obtained from studies with Her2/neu IHC and ISH test on whole sections.

Key words: colorectal cancer, Her2/neu, immunohistochemistry, *in situ* hybridization.

Introduction

Colorectal carcinoma (CRC) is the third most common disease in the world and third in line concerning deaths related to cancer [1]. Even though adjuvant chemotherapy is an excellent strategy, it may not prevent the recurrence of the tumor [2]. For this reason, studies into the treatment regime are still in prog-

ress. Targeted cancer treatment is a promising way for CRC.

The human epidermal growth factor receptor 2 (Her2/neu) is essential for cell proliferation and differentiation [3, 4]. Her2/neu overexpression or amplification is considerable for cancer types including breast, gastric, lung, ovarian, colorectal and prostate [4, 5, 6]. The detection of Her2/neu protein

Table I. Association between Her2/neu positive cases and clinicopathological data of CRC

		*HER2/NEU	**HER2/NEU	TOTAL	P
		NEGATIVE N (%)	POSITIVE N (%)	N (%)	
n		90 (90)	10 (10)	100 (100)	
Age	Average ± SD	66.5 ± 1.5	66.3 ± 10.7	66.5 ± 11.4	0.95
Gender	Female	30 (33.7)	4 (40)	34 (34.3)	0.69
	Male	59 (66.3)	6 (60)	65 (65.7)	
Tumor size (cm)	Average ± SD	5.4 ± 2.6	5.4 ± 1.6	5.4 ± 2.5	0.98
	RC	32 (32)	3 (30)	35 (35)	
Tumor localization	TC	3 (3)	0 (0)	3 (3)	1.00
	LC	40 (40)	4 (40)	44 (44)	
	REC	15 (15)	3 (30)	18 (14)	
Tumor type	Tubular ca.	79	10	89 (89)	0,33
	Mucinous ca. + signet ring ca.	11	0	11 (11)	
LN metastases	N0	44 (48.9)	5 (50)	49 (49)	0.94
	N+	46 (51.1)	5 (50)	51 (51)	
Distant metastases	M0	45 (69.2)	7 (87.5)	52 (71.2)	0.28
	M1	20 (30.8)	1 (12.5)	21 (28.8)	
Grade	1	31 (34.4)	2 (20)	33 (33)	0.53
	2	43 (47.8)	5 (50)	48 (48)	
	3	16 (17.8)	3 (30)	19 (19)	
Stage	T1	3 (3.4)	1 (11.1)	4 (4.2)	0.57
	T2	5 (5.7)	0 (0)	5 (5.2)	
	T3	62 (71.3)	7 (77.8)	69 (71.9)	
	T4	17 (19.5)	1 (11.1)	18 (18.8)	
LVI	L0	42 (46.7)	6 (60)	48 (48)	0.42
	L1	48 (53.3)	4 (40)	52 (52)	
Survival state	Alive	58 (64.4)	8 (80)	66 (66)	N/A
	Dismissed	32 (35.6)	2 (20)	34 (34)	
Median	(Month)	86	48	93	0.68
Survival period	1 year	86%	90%	86%	
	3 years	72%	78%	72%	

*Her2/neu IHC 0, 1+, IHC 2+ and ISH negative

**Her2/neu IHC 3+, IHC 2+ and ISH positive

RC – right colon; TC – transvers colon; LC – left colon; REC – rectum; LVI – lymphovascular invasion

overexpression has been routine practice in breast and gastric cancer [7, 8]. Her2/neu overexpression ratios were reported to be between 0.16 and 47% and Her2/neu gene amplification was found to be between 2.5 and 7.4% in CRCs [9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22]. There are also inconsistent reports in terms of survival; some have reported Her2/neu overexpression to be related with short survival [12, 20, 21, 22, 23, 24] and others could not find any relationship between Her2/neu overexpression and survival [12, 25, 26, 27, 28].

Since 2004, monoclonal antibodies have been used to target the epidermal growth factor receptor (such as cetuximab and panitumumab) and the vascular endothelial growth factor receptor (such as bevacizumab) in metastatic CRC (mCRC) and have been accepted by the US Food and Drug Administration (FDA) [29, 30]. Despite the presence of anti-EGFR receptor, response to monotherapy with cetuximab in metastatic CRC is only 10% [31]. Nowadays combined treatment strategies are targeting multiple members of the EGF receptor family to strengthen the antibody effects. Trastuzumab is an anti Her2/neu

monoclonal antibody and it is used as adjuvant, neo-adjuvant therapy and for advanced stage metastatic breast carcinoma. For Her2/neu positive advanced stage gastric or gastro-oesophageal carcinomas, trastuzumab in combination with chemotherapy is a standard option [32]. Adjuvant chemotherapy accompanied with trastuzumab and lapatinib is active and well tolerated in treatment-refractory patients with KRAS codon 12/13 wild-type, Her2/neu positive metastatic CRC [33].

In primary and metastatic breast cancer, the existence of heterogeneity of Her2/neu overexpression/amplification is a significant predictor for the effects of trastuzumab treatment [34]. Xenograft studies show a meaningful correlation between Her2/neu amplification and cetuximab resistance [35]. Remarkably, the evaluation of Her2/neu amplification is valuable for both treatment alternatives as well as the prediction of cetuximab resistance [33, 36].

Our aim in this study was to investigate Her2/neu expression frequency and heterogeneity of 100 CRC patients. Additionally we analyzed the relationship between the clinicopathological parameters and prognoses of CRC patients with Her2/neu overexpression/amplification.

Material and methods

Study population

Tissue samples of 100 primary colorectal carcinomas were obtained retrospectively from the archive of the pathology department. Resection specimens were reevaluated for histopathological parameters including tumor type, stage, lymph node status, and differentiation. Patient characteristics including gender, age, recurrence and follow-ups were summarized in Table I. Tumor type and grade were defined according to the WHO 2010 criteria. The TNM status was determined according to the seventh edition of the UICC guidelines. This retrospective cohort consisted of 66 men and 34 women. The median age of the patients at the time of diagnosis was 66.5 \pm 11.4 (41-93).

Her2/neu immunohistochemistry

The Her2/neu immunohistochemistry procedure was applied to 4 μ m-thick tumor whole sections obtained from formaline fixed paraffin embedded blocks of resection specimens. The rabbit monoclonal antibody 4B5 for Her2/neu was performed on the Benchmark GX automated platform (Ventana medical systems, Tuscon, AZ, US). Antigen retrieval was standardised at 37°C for 16 minutes on the stainer. The antibody was used as Ventana pre-diluted form.

Both the immunostaining of membrane and cytoplasm were scored. Her2/neu membranous staining was evaluated as a score of 0 to 3 according to the consensus of panel recommendations for gastric cancer [37]. Scores of 3+ were reported as positive, scores of 2+ as equivocal and scores of 0 or 1+ as negative. Cytoplasmic staining was defined as negative (score of 0), weak (score of 1), and strong (score of 2).

Her2/neu *in situ* hybridization

Tumors with membranous scores of 2+, 3+ and only strong cytoplasmic staining were tested by silver *in situ* hybridization on large sections. ISH score was estimated according to ASCO/CAP 2013 [38]. A minimum number of 20 tumor cells per sample were evaluated. For dual-probe ISH, HER2 signal to chromosome 17 centromere signal (HER2/CEP17) ratio adopting 2.0 or greater was considered as a positive result. Cases with a HER2/CEP17 ratio less than 2.0 were considered as negative if the HER2/nucleus count is less than 4.0, equivocal if the HER2/nucleus ratio is 4.0 to less than 6.0, and positive with a HER2/nucleus ratio of 6.0 or greater.

Statistical analysis

Mean \pm standard deviation (SD) values are used to describe the quantitative variables. Median survival, one, three and 5-year survival were also reported for the survival data. Pearson χ^2 test without Yates continuity correction and Fisher-exact test were used to compare proportions; and Student T-test was used to compare the means of tumor characteristics. Kaplan-Meier survival curves and log-rank Test were used for univariate and Cox-Regression analyses were used for multivariate survival analyses. For all analyses IBM-SPSS version 20 was used and the statistical significance was set at $p < 0.05$.

Results

Overexpression of Her2/neu

In all 100 CRC cases the immunohistochemical expression status of Her2/neu had been assessed on whole sections. Membranous staining was observed in 33 cases whereas in 67 cases no membranous staining was observed (Table II). 21 of the 33 cases had a membranous score of 1+, 6 of the cases had a score of 2+ and 6 of the cases had a score of 3+ (Fig. 1A-C).

Heterogenous staining was detected in, one case with membranous score of 3+ and 5 cases with membranous score of 2+ (Table III, Fig. 1D).

There was cytoplasmic staining in 51 (51%) cases. Five of the 51 cases showed strong cytoplasmic staining. Two of the 5 cases with strong cytoplasmic

Table II. Her2/neu immunohistochemical membranous and cytoplasmic staining pattern

	MEMBR. SCORE 0+	MEMBR. SCORE 1+	MEMBR. SCORE 2+	MEMBR. SCORE 3+	TOTAL
No cytoplasmic staining	39	6	2	2	49
Weak cytoplasmic staining	26	15	2	3	46
Strong cytoplasmic staining	2	0	2	1	5
Total	67	21	6	6100	

Table III. Her2/neu immunohistochemical expression pattern and ISH

	MEMBRANOUS STAINING PATTERN	CYTOPLASMIC STAINING PATTERN	ISH
SCORE 3+			
1	Homogeneous	Weak	Amplification
2	Homogeneous	Weak	Amplification
3	Homogeneous	None	Amplification
4	Homogeneous	None	Amplification
5	Heterogeneous	Weak	Amplification
6	Homogeneous	Strong	Amplification
SCORE 2+			
1	Homogeneous	None	Amplification
2	Heterogeneous	None	Amplification
3	Heterogeneous	Weak	Amplification
4	Heterogeneous	Weak	No amplification
5	Heterogeneous	Strong	Amplification
6	Heterogeneous	Strong	No amplification
ONLY CYTOPLASMIC STAINING			
1	None	Strong	No amplification
2	None	Strong	No amplification

staining had a membranous score of 0. The remaining 2 cases had a membranous score of 2+ and one case had a membranous score of 3+. 46 of the cytoplasmic stained cases displayed weak cytoplasmic staining; 3 cases with weak cytoplasmic staining had a membranous score of 3+ and another 2 of these cases had a score of 2+ (Table II).

There were 2 signet ring carcinomas and 9 mucinous adenocarcinomas in our series of cases. None of the signet ring carcinomas overexpressed either membranous or cytoplasmic staining. Nine of the mucinous adenocarcinomas showed weak cytoplasmic staining but no membranous staining.

Her2/neu gene amplification

Her2/neu amplification was observed in 10 cases; 6 of them had 3+ membranous score and 4 of them had 2+ membranous score (Fig. 1E, F). Apart from

that, in 6 cases heterogeneity was observed. Four of the 6 heterogeneous cases (1 case with membranous score 3+ and 3 cases with membranous score of 2+) showed amplification by ISH (Table III). Two of the 5 cases with only strong cytoplasmic staining (membranous score 0) had no amplification. Two of the 5 cases with strong cytoplasmic staining (one with membranous score 3+ and homogeneous, one with membranous score 2+ and heterogeneous) showed amplification. The remaining strong cytoplasmic staining case (membranous score 2+ and heterogeneous) showed no amplification.

We detected Her2/neu amplification in 6/6 (100%) of the cases with homogeneous membranous score 2+ or score 3+. And Her2/neu amplification was found in 4/6 of cases with heterogeneous membranous score 2+ or score 3+. We performed reflex immunohistochemical test on a different tumor block for the single equivocal case. We found 1+ immunohistochemical score and HER/CEP17 ratio < 2 with HER2/nucleus ratio less than 4.

Clinicopathological correlation

Her2/neu positivity was identified in cases which displayed Her2/neu overexpression (score 2+ and score 3+) with Her2/neu amplification. No relationship was found between age, gender, tumor size, tumor grade, tumor localization, tumor type, tumor stage, lymph node metastases, distant metastases, lymphovascular invasion, survival state and survival period in cases indicating Her2/neu overexpression ($p > 0.05$). Her2/neu positive cases and clinicopathological data are shown in Table I. On the other hand no relation was found between tumor localization and Her2/neu amplification.

Her2/neu ISH was positive in 8.8% of the CRC on the right colon and 10.6% of the CRC on the left colon. No statistical difference was found between left and right colon tumors ($p = 1.00$).

Discussion

In this study we have investigated Her2/neu expression and the amplification on the whole sections of 100 primary CRC cases. Membranous overexpression of Her2/neu was found in 12 (12%) of the cases.

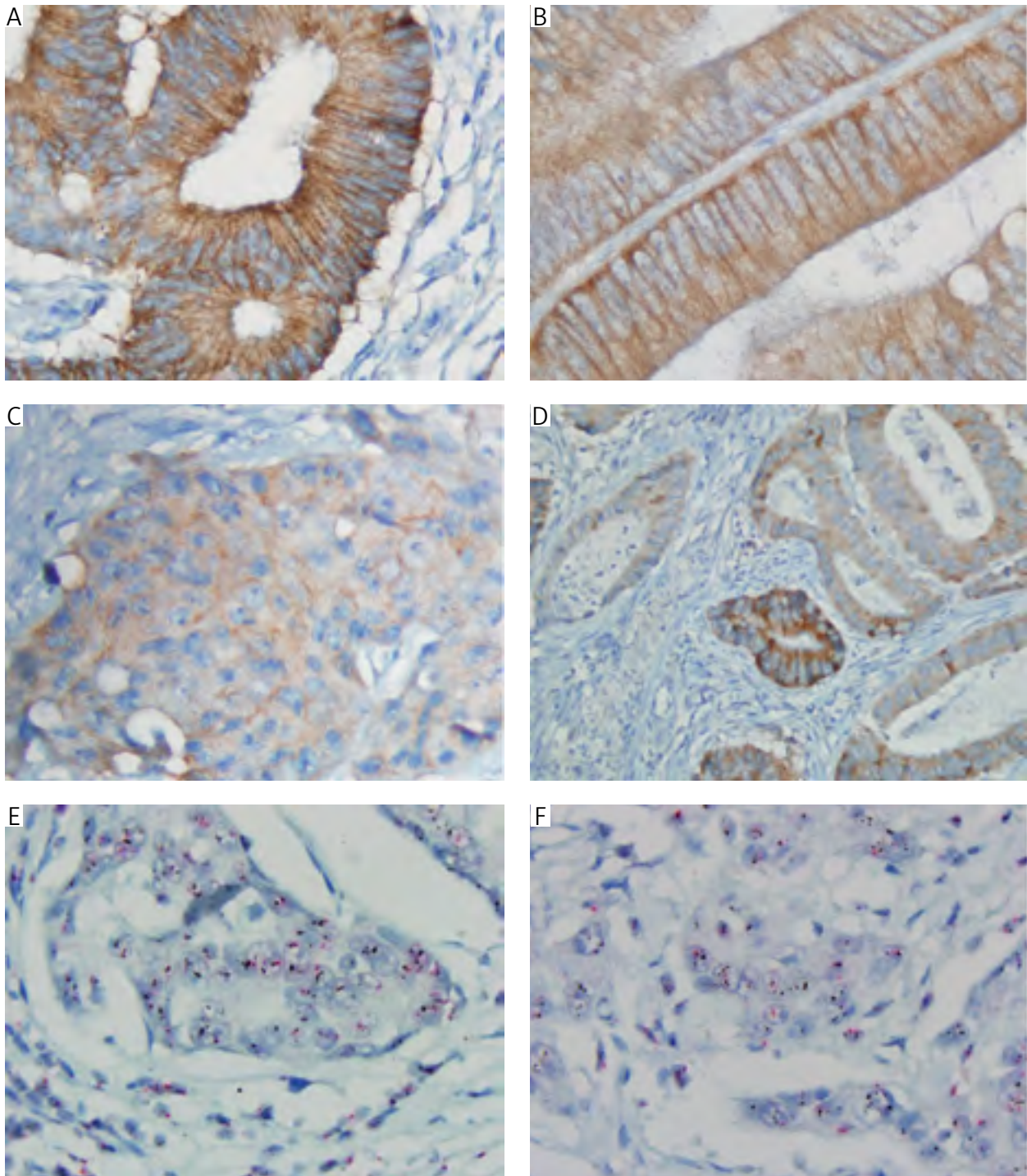


Fig. 1. Her2/neu immunohistochemical staining and ISH test. Her2/neu membranous score 3+ strong cytoplasmic staining (400 \times) (A), membranous score 2+ staining and strong cytoplasmic staining (1000 \times) (B), membranous score 1+ and weak cytoplasmic staining (1000 \times) (C), heterogeneous staining pattern (400 \times) (D) and ISH test amplification (1000 \times) (E, F)

Her2/neu amplification was found in 10 out of 12 cases which showed membranous overexpression. According to these results the Her2/neu amplification rate was 10% out of 100 cases.

A number of studies on CRC indicates different rates of Her2/neu overexpression which might be caused by factors such as the tissue fixation period,

primary antibody selection, antigen retrieval method, variation in detection systems and especially the size of the tissue in which immunohistochemical test evaluated on [39, 40]. These might be the reason for the difference in Her2/neu overexpression rates.

Previous studies with paraffin block of CRC tumors on whole sections reported higher rates of Her2/neu

expression compared with tissue microarray. The rates of expression on whole sections were between 11 and 47,4% [19, 20, 41, 42], on the other hand expression rates were 1-7,5% on tissue microarray [9, 18, 26, 25, 43]. The rate of expression was 12% in our whole section based study and similarly it was higher than tissue microarray based studies. It is emphasized that comparatively low rates of Her2/neu expression monitored in studies including the tissue microarray method is caused by tumor heterogeneity. Tissue microarray method might be the reason for increase in number of false negative results. In our study, with using larger tumor tissues, we were able to overcome the false negative effect, which may be caused by heterogeneity.

Heterogeneity was found in one of the 6 cases with membranous score 3+ and 5 of the cases with score 2+. Overall, in 6 cases out of 12 cases heterogeneity was found.

We found amplification in 4 out of 6 heterogeneously membranous stained cases. Since we applied both Her2/neu and SISH tests on whole section tumors, clearer evaluation on tumor heterogeneity was possible. According to literature, the reason for comparatively higher rates of Her2/neu overexpression could be explained in this way.

On the other hand, taking our facts into consideration, we determined that Her2/neu with cytoplasmic staining rate (cytoplasmic weak and strong) was at a high rate of 51%. Only 2 of Her2/neu with cytoplasmic homogeneous strongly stained cases were tested with ISH and there was no gene amplification. However, cytoplasmic overexpression might not always be in relation with gene amplification [44]. The reason for this cytoplasmic overexpression could be proteins connected with promoters or the feedback mechanism at target mutations like KRAS at Her2/neu downstreams [35]. Cytoplasmic Her2/neu protein overexpression's pathophysiology should be enlightened because intracellular Her2/neu targeting treatment agents (ex.lapatinib) could be beneficial [33]. Additionally, in literature the reason for higher-level Her2/neu expression relative to amplification is due to an exaggeration of cytoplasmic staining [44].

In our study, there was no correlation between Her2/neu positivity and age, gender, tumor size, tumor site, tumor type, tumor grade, tumor stage, lymph node metastases, distant metastases, lymphovascular invasion, survival state and survival period.

There was no correlation found between Her2/neu overexpression and gender, age, tumor stage, tumor type, tumor localization, grading, TNM stage, recurrence, lymph node metastases and follow-up clinicopathological data in previous studies [25, 26, 42]. While Heppner *et al.* found relation between Her2/neu overexpression and UICC stage, lymph node

metastases in 1,645 primer CRC cases however no relation was found between Her2/neu overexpression and gender, age, survival data, localization, tumor grade and lymphovascular invasion [9]. Pyo *et al.* found a relation between Her2/neu overexpression and lymph node and distant metastasis but no relation was found between Her2/neu overexpression tumor dept and overall survival [22].

Annually, about 1.36 million new cases are diagnosed with CRC [1]. Estimating that 5% of these patients will be diagnosed with Her2/neu membranous overexpression worldwide then 68.000 patients are candidates for targeted treatment strategy. There are many studies on CRC where Her2/neu status is seen as a predictive and prognostic factor and CRC patients benefit from anti-Her2/neu treatment like in breast and gastric carcinomas. However, tumor heterogeneity in CRC is a restrictive aspect when it comes to determine Her2/neu evaluation in CRC is most likely to become a part of routine pathology practice in the future. When Her2/neu overexpression and amplification are being evaluated for CRC, tumor heterogeneity should be taken under consideration.

The authors declare no conflict of interest.

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